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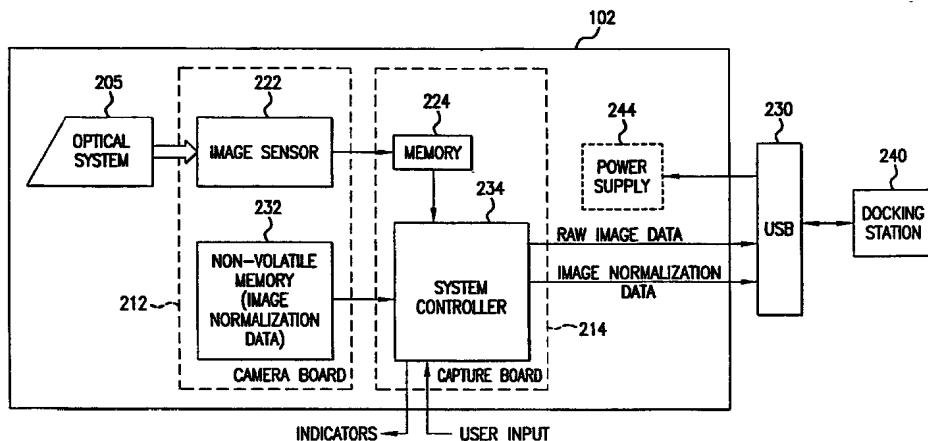
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09/430,296 29 October 1999 (29.10.1999) US(71) Applicant: CROSS MATCH TECHNOLOGIES, INC.  
[US/US]; Suite 6001, 3960 RCA Boulevard, Palm Beach  
Gardens, FL 33410 (US).(72) Inventors: MCCLURG, George, William; 2166 N.E.  
Ocapi Court, Jensen Beach, FL 34957 (US). BRUNELL,  
David; 200 Avila Road, West Palm Beach, FL 33405 (US).  
SCOTT, Walter, Guy; 11662 Lake Shore Place, North  
Palm Beach, FL 33408 (US).(74) Agents: KESSLER, Edward, J. et al.; Sterne, Kessler,  
Goldstein & Fox P.L.L.C., Suite 600, 1100 New York  
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(54) Title: HAND-HELD FINGERPRINT SCANNER WITH ON-BOARD IMAGE NORMALIZATION DATA STORAGE



(57) Abstract: A hand-held fingerprint scanner (102) having local storage of image normalization data (232) is disclosed, as well as a method of manufacturing such a scanner. The image normalization data is representative of fixed pattern noise associated with the image sensor (222) used in the fingerprint scanner. This data may be combined with the raw image data resulting in normalized image data. The image normalization data is stored in non-volatile memory where it can be transmitted to a host processor. Alternatively, the fingerprint scanner may include a normalization processor, allowing transmission of normalized fingerprint images to a host processor. Also disclosed is a fingerprint scanning system having remote fingerprint scanners with local storage of image normalization data.

WO 01/33494 A1

# Hand-Held Fingerprint Scanner With On-Board Image Normalization Data Storage

## *Background of the Invention*

### 5 1. *Field of the Invention*

The present invention relates generally to fingerprint scanning and imaging.

### 2. *Related Art*

10 Biometrics are a group of technologies that provide a high level of security. Fingerprint capture and recognition is an important biometric technology. Law enforcement, banking, voting, and other industries increasingly rely upon fingerprints as a biometric to recognize or verify identity. See, *Biometrics Explained*, v. 2.0, G. Roethenbaugh, International Computer Society Assn. Carlisle, PA 1998, pages 1-34.

15 Fingerprint scanners having image sensors are available which capture an image of a fingerprint. A signal representative of the captured image is then sent over a data communication interface to a host computer for further processing. For example, the host can perform one-to-one or one-to-many fingerprint matching.

20 Due to manufacturing tolerances and techniques, image sensors can vary from chip-to-chip, column-to-column, row-to-row, or even from pixel-to-pixel within a given chip. For example, a particular column within an image sensor may have a greater, or lesser, sensitivity to light ("sensitivity offset") than the average sensitivity among the remaining columns. Since this sensitivity offset is particular to the image sensor itself, a column having an offset in a first image sensor may not have an offset in a second image sensor. As a result of sensitivity offsets, an image sensor excited with a uniform light source may exhibit non-uniform illumination among columns. This results in a non-uniform image. Furthermore,

as a result of variances among image sensors, two image sensors excited by the same uniform light source may produce non-uniform images which differ from one another. This image distortion particular to the image sensor itself is referred to as fixed pattern noise ("FPN").

5 While low levels of FPN are sometimes acceptable in an image sensor within a fingerprint image scanner, this noise may be of a magnitude sufficient to degrade image quality to an unacceptable level. Furthermore, acceptable FPN levels may become unacceptable if, for example, the gain of the image sensor is increased to accommodate lower light levels.

10 It is therefore desirable to produce a fingerprint scanner having accurate image output despite the FPN associated with the particular image sensor used in the fingerprint scanner. Furthermore, since mobile use is increasingly desired in biometric applications, such as law enforcement, police and others need a portable hand-held device to easily and accurately capture fingerprint images in the field. 15 It is therefore desirable to produce a mobile, hand-held fingerprint scanner having accurate output despite the FPN associated with the particular image sensor used in the fingerprint scanner.

### *Summary of the Invention*

20 The present invention provides a fingerprint scanner which includes image normalization data stored locally with the image sensor. Likewise, the present invention provides a fingerprint scanning system which includes remote fingerprint scanners having local storage of image normalization data. Such local storage of image normalization data is advantageous because it allows for interchangeability among remote scanners as well as between remote scanners and host processors.

25 In a first embodiment, a remote fingerprint scanner, which may be a mobile, hand-held unit, includes an image sensor and image normalization data. The image normalization data is stored in a non-volatile memory. The non-volatile memory is disposed locally with the image sensor. The image normalization data is specific to the image sensor and is representative of non-uniform sensitivity

among pixels, columns of pixels, or rows of pixels within the image sensor. Additionally, the remote fingerprint scanner may include a data communications interface, such as a universal serial bus (USB) or an IEEE 1394 (also called FIREWIRE) compatible interface, that couples data between the remote 5 fingerprint scanner and a docking station. Furthermore, the remote fingerprint scanner also may include a camera board on which are disposed the image sensor as well as the non-volatile memory. In the first embodiment, the output of the remote fingerprint scanner includes both raw image data as well as the image normalization data. This allows for image normalization, and thus the elimination 10 of fixed pattern noise, at the host processor rather than within the remote fingerprint scanner. Thus, in the first embodiment, the remote fingerprint scanner does not include a normalization processor.

15 In a second embodiment, a remote fingerprint scanner also includes a normalization processor. The normalization processor is preferably included in the remote fingerprint scanner's system controller. The normalization processor normalizes image output. Thus, a remote fingerprint scanner in the second embodiment outputs a normalized image. Alternatively, even with the normalization processor, the remote fingerprint sensor of the second embodiment may be configured so that its output includes raw image data together with image 20 normalization data, like the output of the remote fingerprint scanner of the first embodiment.

25 In addition to the fingerprint scanners of the first and second embodiments, a fingerprint scanning system is provided. Such a system includes a host processor in addition to at least one fingerprint scanning unit corresponding to the first or second embodiments and may also include a docking station as well a data communications interface.

30 Also disclosed is a method of manufacturing a remote fingerprint scanner according to the first and second embodiments. The disclosed method includes the steps of exciting an image sensor with a light source determining a set of normalization coefficients. These normalization coefficients are then stored in a

non-volatile memory. The non-volatile memory may be disposed locally with the image sensor. The light source used in the method may be a uniform light source. The intensity of the uniform light source may be adjusted so as to avoid saturation at either extreme of sensitivity of said image sensor.

5 The step of determining a set of normalization coefficients may further include determining an average image intensity of a predetermined area of pixels within the image sensor the image sensor. The predetermined area of pixels may be a plurality of columns within the image sensor.

10 Likewise, the step of determining a set of normalization coefficients may also include determining an average column intensity for each column within said image sensor. Furthermore, comparing an average column intensity for each column to an average image intensity may also be included in the step of determining a set of normalization coefficients. Such a comparison resulting in a normalization coefficient for each column. Preferable equations used in the 15 manufacturing method are also disclosed.

Further features and advantages of the present invention, as well as the structure and operation of various embodiments of the present invention, are described in detail below with reference to the accompanying drawings.

### ***Brief Description of the Drawings***

20 The accompanying drawings, which are incorporated herein and form part of the specification, illustrate the present invention and, together with the description, further serve to explain the principles of the invention and to enable a person skilled in the pertinent art to make and use the invention.

25 FIG. 1 is a diagram of a remote fingerprint scanner together with a host processor according to the present invention.

FIGs. 2A and 2B are diagrams of a fingerprint scanner according to the first and second embodiments of the present invention, respectively.

FIGs. 3A, 3B, and 3C are illustrations of a portion of a normalization image, a portion of a raw fingerprint image, and a portion of a normalized fingerprint image, respectively.

5 FIGs. 4A and 4B are drawings of an example implementation of a mobile, hand-held fingerprint scanner used in a law enforcement application according to the present invention.

FIGs. 5A and 5B are flowcharts illustrating steps in a manufacturing method as well as in an image normalization process according to the present invention.

10 The present invention is described with reference to the accompanying drawings. In the drawings, like reference numbers indicate identical or functionally similar elements. Additionally, the left-most digit(s) of a reference number identifies the drawing in which the reference number first appears.

### ***Detailed Description of the Embodiments***

#### ***Terminology***

15 As used herein, the term "remote fingerprint scanner" is used to refer to a fingerprint scanner that scans a fingerprint and then transmits image data, normalized or raw, to a host processor. The term "remote" is meant to imply that the fingerprint scanning can take place at a location physically separate from the host processor. A remote fingerprint scanner and a host processor may be considered physically separate even though they may be connected through a data interface, permanent or otherwise.

20 As used herein, the term "host processor" is used to refer to any type of computer, processor(s), or logic which can receive and process fingerprint images detected by a remote fingerprint scanner. Such a processor may include software for performing one-to-one or one-to-many fingerprint matching and recognition or instead, for example in the case of a host processor used in a law enforcement

vehicle, may be used to further transmit detected fingerprint image data to another processor for matching and recognition.

As used herein, the term "fingerprint image" is used to refer to any type of detected fingerprint including but not limited to an image of all or part of one or more fingerprints, a rolled fingerprint, a flat stationary fingerprint, a palm print, and/or prints of multiple fingers.

As used herein, the term "local storage of image normalization data" means that the image normalization data is disposed within the same remote fingerprint scanner as is the image sensor with which the normalization data is associated.

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#### ***Fingerprint Scanner with Local Storage of Image Normalization Data***

FIG. 1 is a diagram showing the arrangement of a remote fingerprint scanner together with a host processor according to the present invention. According to the present invention, a remote fingerprint scanner 102 is provided that includes local storage of image normalization data particular to the image sensor used in the remote fingerprint scanner. This local storage of image normalization data allows for transmission of raw fingerprint image data 103 together with image normalization data 104 to a host processor 150 through a data interface 140. Likewise, when an image normalization processor is included in the remote fingerprint scanner, transmission of a normalized fingerprint image 105 to a host processor is also possible.

FIG. 2A shows a remote fingerprint scanner 102 together with an exemplary data interface 140 according to a first embodiment of the present invention.

Optical system 205 can include a prism and a lens system as described in U.S. Patent No. 5,900,933. Other optical systems can be used in the present invention as would be apparent to a person skilled in the art.

25

5 Camera board 212 includes image sensor 222 and non-volatile memory 232. Any type of non-volatile memory may be used, for example an electrically-erasable read only memory (EEPROM) or an optically-erasable read only memory (Flash-EPROM), though the invention is not limited to these specific types of non-volatile memory. In one example, non-volatile memory 232 stores the executable code necessary for device operation, as well as image normalization data particular to the image sensor. Image sensor 222 includes a CMOS square pixel array. For example, a CMOS camera manufactured by Motorola Corporation can be used. The image sensor is discussed below in greater detail.

10 Capture board 214 includes memory 224 and system controller 234. Memory 224 is a random-access-memory for storing detected fingerprint images. Other types of memory (flash memory, floppy drives, disks, mini-floppy drives, etc.) may be used in alternative embodiments of the present invention. Furthermore, sufficient memory may be included to allow for the local storage of 15 multiple fingerprint images. For example, captured images of fingerprints can be stored in mini-floppy drives (such as those available from Sandisk Corp. or Intel Corp.). In this way, multiple prints can be stored locally. This is especially important in border control, crime scene, and accident sight applications.

20 System controller 234, using the executable code stored in non-volatile memory 222, performs the necessary functions associated with device operation, such as image sensor control in response to user input.

25 As would be apparent to a person skilled in the art, other types of memory, circuitry and/or processing capability may be included on the capture board or within the system controller, examples of which include a frame grabber and an analog/digital converter.

Also included in the remote fingerprint scanner of the first embodiment shown in FIG. 2A is a power supply 244, a Universal Serial Bus (USB) interface, indicators, and user input controls (the latter two shown as indicators and buttons in FIG. 4A). While a USB interface is used in connection with the preferred 30 embodiments, the invention is not limited to such an interface. For example, an

IEEE 1394 High Performance Serial Bus interface, RF interface, or even a proprietary interface may be used without departing from the scope of the disclosed invention.

5        Returning to image sensor 222, due to manufacturing tolerances and techniques, the pixel arrays of such image sensors may vary in sensitivity from row-to-row, column-to-column, and even from pixel-to-pixel within a given array. This variance in sensitivity is referred to as "sensitivity offset." The image distortion which results from the sensitivity offset specific to a given image sensor is known as fixed-pattern-noise, or FPN. While FPN is frequently within tolerable 10 ranges, there is a level of noise beyond which image quality is unacceptable. Furthermore, otherwise acceptable FPN levels may become unacceptable if, for example, the gain of the image sensor is increased to accommodate lower light levels. In order to correct for FPN, image normalization data particular to the pixel array may be produced.

15        FIG. 5A is a flow-chart illustrating one example of an image normalization technique used in a method of manufacturing a remote fingerprint image scanner according to the present invention (steps 510-550). While a method of manufacturing a remote fingerprint scanner according to the present invention would necessarily include steps beyond those shown in FIG. 5A and discussed 20 below (such as mounting the disclosed components on the respective boards, interconnecting the components, forming the housing, etc.), these steps are within the level of ordinary skill in the art and their specifics are not critical to the instant invention and so are not further discussed. Any additionally appropriate manufacturing techniques, steps, or processes may be performed without 25 departing from the scope of the instant invention.

30        In the first step shown in FIG. 5A, the image sensor is excited with a light source (step 510). Preferably the light source used in step 510 is a uniform light source. The image received by the image sensor in response to this uniform light source is referred to herein as a "normalization image." When producing a normalization image, the intensity of the uniform light source may be adjusted to

5 avoid saturation at either extreme of the sensitivity of the image sensor. For example, if the uniform light source is too bright, the normalization image may not include a range of values as a result of saturation. In this situation, the intensity of the uniform light source should be lowered so that the normalization image includes both positive and negative sensitivity offsets. An example of such a normalization image is shown in FIG. 3A.

10 In FIG. 3A, the output of the image sensor is shown as a pixel array having columns 0-X and rows 0-Y. For the sake of clarity, only a small number of pixels included in the image sensor are depicted in the figures. The actual number pixels in the image sensor is not critical since the image size can be scaled up or down by the host processor, as desired. In the present example, the array may be a 640x480 array which can be scaled to 512 x 512, a size which conforms with software provided by the FBI. Furthermore, standard image size is 1" x 1", 15 500 dpi, 256 levels of gray-scale (ANSI-NIST).

15 As can be seen from the normalization image of FIG. 3A, while most pixels responded evenly to the uniform light source, some columns of pixels demonstrated a sensitivity offset, resulting in a non-uniform image. While such an offset is also possible among rows or individual pixels, the most frequently encountered offset is among columns.

20 After a normalization image is produced, an average image sensitivity associated with the image sensor is determined (step 520). This average intensity can be determined with the following equation:

$$\text{AVERAGE IMAGE INTENSITY} = \frac{\sum_{\text{col}=0}^X \sum_{\text{row}=n}^{n'} \text{IMAGE}(\text{col}, \text{row})}{(X+1) \times (n'-n+1)};$$

25 where X+1 is the total number of columns in said image sensor, and where n and n' are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where Y is the maximum row ordinate value in said image sensor), and such that  $n'-n+1$  represents the number of rows within each column from which individual column intensity is determined.

-10-

As can be seen from the above equation, the average image intensity is calculated by summing, column-by-column, the intensity within a number of rows within the column. Since the column itself will have a sensitivity offset, only a sample of rows from within each column need be summed. Five to ten rows within each column is generally sufficient, for example. Once the sum of the intensity of all included pixels is calculated, it is then divided by the total number of pixels included in the calculation. This results in an average image value.

While in this example all the columns in the array were used to arrive at an average image value, one skilled in the art given this description would recognize that such an average can also be determined through evaluation of only a subset of columns in the array. Of course, in certain applications, the number of columns used in the calculation is not large enough, sensitivity offsets among the averaged columns may skew the result of the average image intensity calculation. Thus, including all columns in the calculation is preferred.

In step 530, the average image intensity of each column is determined. The same normalization image used to calculate the average image intensity can be used. The average column intensity of a given column, x, can be determined with the following equation:

$$\text{AVERAGE COLUMN INTENSITY}(x) = \frac{\sum_{\text{row}=n}^{n'} \text{IMAGE}(x, \text{row})}{(n' - n + 1)} ;$$

where AVERAGE COLUMN INTENSITY(x) equals the average image intensity for a particular column x, and where n and n' are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where Y is the maximum row ordinate value in said image sensor), such that  $n' - n + 1$  represents the number of rows within each column from which average column intensity is determined.

Next, a set of image normalization coefficients is determined (step 540). In one example, the average column intensity for each column is compared to the

average image intensity for the image sensor. This comparison reveals the appropriate normalization coefficient for a particular column, and can be expressed by the following equation:

5                   NORM(x)=AVERAGE IMAGE INTENSITY-AVERAGE COLUMN INTENSITY(x); where NORM(x) is the image normalization coefficient for a particular column, x. Thus, repeating this comparison for each column yields a set of normalization coefficients sufficient to correct for sensitivity offsets among the columns of the image sensor.

10                  Finally, the set of image normalization coefficients determined in step 540 are stored in non-volatile memory 232 for use during device operation (step 550).

15                  While the steps and formulas discussed above and shown in FIG. 5A are described in terms of correcting sensitivity offsets among columns within an image sensor, one skilled in the art would readily understand that these steps and formulas may be easily modified so as to correct for sensitivity offsets among rows, groups of pixels, or even among individual pixels. Furthermore, while the process describes the calculation of all average column intensities followed by the calculation of the normalization coefficients, the normalization coefficients could also be calculated one column at a time.

20                  FIG. 2B illustrates a second embodiment of the disclosed invention. The second embodiment differs from the first embodiment of FIG. 2A only in that it further includes a normalization processor 235. Normalization processor 235 is preferably implemented as an additional function included in the remote fingerprint scanner's system controller 234. Normalization is the process whereby raw image data is combined with image normalization data producing normalized image data. This process can take place in normalization processor 235 as in the second embodiment, or can occur in host processor 150, using image normalization data supplied by the remote fingerprint scanner 102, as in the first embodiment. Image normalization is described further in terms of FIGs. 3A-3C, as well as FIG. 5B.

25                  FIG. 5B is a flow chart illustrating the operational steps involved in image normalization during device operation (steps 560-595). During operation, a

5 fingerprint is scanned (step 560) and the raw image data is stored in memory 224 (step 570). FIG. 3B shows a segment of an example of such an image. Since the image of FIG. 3B is raw, it includes the FPN illustrated in FIG. 3A. Thus, columns in FIG. 3B corresponding to those columns in FIG. 3A which demonstrate a sensitivity offset will appear lighter or darker than they should. In order to eliminate the FPN from the raw image data, the raw image data is combined with the image normalization data (step 580). This process can be described with the following equation:

10 NORMALIZED IMAGE[col, row] = RAW IMAGE[col, row] + NORM[col];  
where NORMALIZED IMAGE[col, row] is a single normalized pixel data value, RAW IMAGE[col, row] is a single raw image pixel value, and NORM[col] is the normalization coefficient for a particular column calculated in the manner discussed above in connection with FIG. 5A. Thus, the result of the image normalization process is a set of normalized image data which can then be stored, 15 transmitted, and/or used in further processing (step 595).

20 In calculating normalized image data, care should be taken that the normalized image values do not exceed allowable limits. If the result of the above equation exceeds an allowable limit, the result should be set to the maximum, or minimum, allowable value, as appropriate (step 590). This situation can occur, for example, when a raw image data value represents a pixel near saturation in a column having normalization coefficient that, when added to the raw data value (during step 580), exceeds the allowable image value. In other words, a particular column may have a positive normalization coefficient because the pixels in that column have a sensitivity offset such that they appear lighter than they should. If, 25 during operation, the sensor records a dark value for a pixel in that column, adding the normalization coefficient associated with that column with the particular pixel value may exceed the maximum value limit. Thus, normalized image data values should be set to maximum or minimum values whenever the result of image normalization exceeds maximum or minimum allowable values (step 590).

5 FIG. 3C illustrates a portion of a normalized image corresponding to the raw image of figure 3B. As can be seen by comparing the image of FIG. 3C with those of FIGs. 3A and 3B, FIG. 3C shows the result of correcting the image of figure 3B for the sensitivity offsets of the image sensor as demonstrated by FIG. 3A.

10 Because the remote fingerprint scanner of FIG. 2B includes a normalization processor in the system controller, output from the scanner can include normalized image data, while the output of the remote fingerprint scanner of FIG. 2A includes raw image data together with image normalization data. Thus, in the case of the remote fingerprint scanner of FIG. 2A, image normalization occurs in the host processor.

#### *Example Implementation*

15 FIGs. 4A and 4B illustrate the outward appearance of a mobile, hand-held remote fingerprint scanner according to FIGs. 2A and 2B. Fingerprint scanner 102 is ergonomically designed to fit the hand naturally. The oblong, cylindrical shape (similar to a flashlight), does not contain sharp edges. The device is small enough to be gripped by large or small hands without awkward or unnatural movement. The device is comfortable to use without muscle strain on the operator or subject. In one example, fingerprint scanner 102 is 1.5 x 8.0 x 1.5 inches (height x length x width), weighs about 340 grams (12 oz.), and has an image platen size of about 1" x 1".

20 Fingerprint scanner 102 has controls and status indicators on the front-face of the unit for single (left or right) hand operation. The non-intimidating appearance of the fingerprint scanner 102 is designed to resemble a typical flashlight - a device that is not generally threatening to the public. Fingerprint scanner 102 has no sharp edges and is constructed of a light-weight aluminum housing that is coated with a polymer to give the device a "rubberized" feel. Because fingerprint scanner 102 is small and lightweight, it may be carried on the

officer's utility belt upon exiting a vehicle. The device is designed for one hand use, allowing the officer to have a free hand for protective actions. Fingerprint scanner 102 is designed for harsh environments to sustain issues such as dramatic temperature changes and non-intentional abuse.

5                   Fingerprint scanner 102 contains a simple push button and set of 3 LED's that provide user activation and status indication. The user need only press one button to activate the unit. Once activated, the fingerprint scanner 102 awaits a finger to be introduced to the fingerprint capture platen. The digital image (or analog) is automatically captured when an adequate image area is detected. The image is then tested for quality of data prior to notifying the operator with an indication (e.g., visual indication and/or audible tone) for acceptance. The unit emits a tone to indicate a completed process. The officer may introduce the unit to a docking station (discussed below) blindly, maintaining his eyes on the subject for safety. Once seated in the docking station, the fingerprint is automatically 10 transferred to the mobile computer without operator intervention. The detected image is scalable to conform to FBI provided software (cropped or padded to 512 pixels by 512 pixels), although the standard image size is 1" X 1", 500 dpi, 256 levels of gray-scale (ANSI-NIST).

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20                   Fingerprint scanner 102 is held in either hand and used to capture a person's fingerprint. The fingerprint is captured from a cooperative individual (frontal approach) or an uncooperative individual (handcuffed subject - most commonly face down). Fingerprint scanner 102 can be operated with one-hand, allowing the officer to have a hand ready for protective actions. The officer need not have fingerprinting knowledge to capture the fingerprint.

25                   The instant invention further includes a fingerprint scanning system. FIG. 1 shows a mobile, hand-held fingerprint scanner and docking system 100 according to the present invention. System 100 includes a mobile, hand-held fingerprint scanner 102, data interface 140 and a host processor 150. Fingerprint scanner 102 includes local storage of image normalization data. For example, 30 fingerprint scanner 102 may be the fingerprint scanner shown in FIG.s 2A or 2B,

or a fingerprint scanning system may include both types of remote fingerprint scanners. Fingerprint scanner 102 is detachably coupled to docking station 140, as shown in FIGs. 2A and 2B. Stored images are then downloaded from fingerprint scanner 102 through docking station 140 to a host processor 150. In the case of a mobile, hand-held fingerprint scanner, for example as would be used by a law enforcement officer in the field, fingerprint scanner 102 communicates the fingerprint images together with normalization data (or normalized images if the fingerprint scanner includes a normalization processor) to a mobile host processor 150 in a vehicle.

Docking station 140 can hold fingerprint scanner 102 in a variety of configurations depending upon a particular application and environment. For example, in a law enforcement application, docking station 140 may be a holder mounted in a police car. The docking station 140 serves as a cradle that easily guides the fingerprint scanner 102 into position blindly, allowing the officer to focus on safety issues rather than the device operation. Docking station 140 is small and compact for easy placement in a tight space.

Host processor 150 can be any type of computer, processor(s), or logic which can receive and process fingerprint images detected by the fingerprint scanner 102. In one example, host processor 150 includes software for performing one-to-one or one-to-many fingerprint matching and recognition, while processing operations related to detecting, storing a detected image signal, and image normalization in the case of the remote fingerprint scanner of FIG. 2B, are carried out in capture board 214.

In another example, host processor 150 transmits detected fingerprint data to another processor for matching and recognition. For instance, if host processor 150 is in a law enforcement vehicle, host processor 150 can transmit detected fingerprint data to another processor at a police station or FBI office with access to a larger database for matching and recognition over a broader range of data.

In a law enforcement application, host processor 150 can further assemble the detected images into a format compatible with a local, county, or state AFIS

or the NCIC or NCIC 2000 service. National Crime Information Center (NCIC) is an on-line information service jointly maintained by the Federal Bureau of Investigation (FBI) and criminal justice agencies throughout the United States and its territories. NCIC is being replaced by NCIC 2000, which will provide all NCIC services and new services. The new services include fingerprint matching, additional information files, and image files.

Host processor 150 may include or may be coupled through a wireless communication link to other system databases or services (such as NCIC 2000). A software interface which is TWAIN compliant is included for easy integration and Plug and Play (PnP) connectivity.

Remote fingerprint scanner 102 can be used with an FBI Mobile Imaging Unit (MIU) software application in host processor 150 to support NCIC 2000 functions in mobile law enforcement vehicles. The MIU provides a user interface, supports various peripheral devices, and transmits information in NCIC 2000-defined formats. The remote fingerprint scanner 102 can operate as a peripheral to the MIU (or to a processor that performs MIU-equivalent functions).

In an example environment, fingerprint scanner 102 can meet the following criteria:

- \* A WINDOWS operating system environment and FBI-provided fingerprint image processing algorithms are used in NCIC 2000 fingerprint transactions;
- \* Fingerprint image sampling rate: 500 pixels per inch
- \* Size at input to FBI-provided Software: Cropped or padded to 512 pixels by 512 pixels
- \* Software interface from live scan device to MIU: TWAIN
- \* Image Quality: Electronic Fingerprint Transmission Specification, FBI Criminal Justice Information Services

### ***Conclusion***

While various embodiments of the present invention have been described above, it should be understood that they have been presented by way of example

-17-

only, and not limitation. It will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined in the appended claims. Thus, the breadth and scope of the present invention should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

***What is claimed is:***

1. A remote fingerprint scanner comprising:  
an image sensor; and  
image normalization data stored in a non-volatile memory.
2. The remote fingerprint scanner of claim 1, wherein said fingerprint scanner is a mobile, hand-held fingerprint scanner.
3. The remote fingerprint scanner of claim 1, further comprising:  
a data communications interface that couples data between the remote fingerprint scanner and a docking station.
4. The remote fingerprint scanner of claim 3, wherein said data communications interface is selected from the group consisting of a universal serial bus (USB) and an IEEE 1394 compatible interface.
5. The remote fingerprint scanner of claim 1, further comprising:  
a camera board;  
wherein said image sensor and said non-volatile memory are disposed on said camera board.
6. The remote fingerprint scanner of claim 1, wherein said image normalization data is specific to said image sensor and is representative of non-uniform sensitivity among pixels, rows of pixels, or columns of pixels within said image sensor.
7. The remote fingerprint scanner of claim 6, wherein said image normalization data is representative of non-uniform sensitivity among columns of pixels within said image sensor, said image normalization data comprising an image normalization coefficient for each column within said image sensor, wherein an image normalization coefficient for a particular column, x, within said sensor is defined according to the following equation:

-19-

NORM(x)=AVERAGE IMAGE INTENSITY-AVERAGE COLUMN INTENSITY(x);

where:

NORM(x) is the image normalization coefficient for a particular column, x;

AVERAGE IMAGE INTENSITY is defined according to the following equation:

$$\text{AVERAGE IMAGE INTENSITY} = \frac{\sum_{\text{col}=0}^X \sum_{\text{row}=n}^{n'} \text{IMAGE}(\text{col}, \text{row})}{(X+1) \times (n'-n+1)} ;$$

where X+1 is the total number of columns in said image sensor, and where n and n' are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where Y is the maximum row ordinate value in said image sensor), such that  $n'-n+1$  represents the number of rows within each column from which individual column intensity is determined; and

where AVERAGE COLUMN INTENSITY(x) is the average intensity for a particular column, x, within said image sensor and is defined by the following equation:

$$\text{AVERAGE COLUMN INTENSITY}(x) = \frac{\sum_{\text{row}=n}^{n'} \text{IMAGE}(x, \text{row})}{(n'-n+1)} ;$$

where n and n' are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where Y is the maximum row ordinate value in said image sensor), such that  $n'-n+1$  represents the number of rows within each column from which average column intensity is determined.

8. A mobile, hand-held fingerprint scanner comprising:

an image sensor;  
image normalization data stored in a non-volatile memory; and  
a communication interface that transfers image data to a host  
processor;

wherein said image data includes raw image data together with said  
image normalization data.

9. The mobile, hand-held fingerprint scanner of claim 8, wherein said  
data communications interface is selected from the group consisting of a universal  
serial bus (USB) and an IEEE 1394 compatible interface.

10. A mobile, hand-held fingerprint scanner comprising:  
an image sensor;  
image normalization data stored in a non-volatile memory; and  
a communication interface that transfers image data to a host  
processor;  
wherein said image data includes normalized image data.

11. The mobile, hand-held fingerprint scanner of claim 10, further  
comprising:  
a normalization processor that, with said image normalization data,  
normalizes raw image data from said image sensor.

12. The mobile, hand-held fingerprint scanner of claim 9, wherein said  
data communications interface is selected from the group consisting of a universal  
serial bus (USB) and an IEEE 1394 compatible interface.

13. A fingerprint scanning system comprising:  
at least one remote fingerprint scanner, said at least one remote  
fingerprint scanner including:

an image sensor;  
image normalization data stored in a non-volatile memory;  
and  
at least one host processor that receives image signals from said at least one remote fingerprint scanner.

14. The fingerprint scanning system of claim 13, wherein said at least one remote fingerprint scanner is a mobile, hand-held fingerprint scanner.

15. The fingerprint scanning system of claim 13, further comprising:  
a docking station connected to said host processor;  
wherein said at least one remote fingerprint scanner further includes a data communications interface that couples data between said at least one remote fingerprint scanner and said docking station.

16. The fingerprint scanning system of claim 15, wherein said data communications interface is selected from the group consisting of a universal serial bus (USB) and an IEEE1394 compatible interface.

17. The remote fingerprint scanning system of claim 13, wherein said at least one remote fingerprint scanner further comprises:  
a camera board;  
wherein said image sensor and said non-volatile memory are disposed on said camera board.

18. The remote fingerprint scanning system as in claim 13, wherein said image normalization data is specific to said image sensor and is representative of non-uniform sensitivity among pixels, rows of pixels, or columns of pixels within said image sensor.

19. The remote fingerprint scanner of claim 18, wherein said image normalization data is representative of non-uniform sensitivity among columns of pixels within said image sensor, said image normalization data comprising an image normalization coefficient for each column within said image sensor, wherein an image normalization coefficient for a particular column, x, within said sensor is defined according to the following equation:

NORM(x)=AVERAGE IMAGE INTENSITY-AVERAGE COLUMN INTENSITY(x);

where:

NORM(x) is the image normalization coefficient for a particular column, x;

AVERAGE IMAGE INTENSITY is defined according to the following equation:

$$\text{AVERAGE IMAGE INTENSITY} = \frac{\sum_{\text{col}=0}^X \sum_{\text{row}=n}^{n'} \text{IMAGE}(\text{col}, \text{row})}{(X+1) \times (n'-n+1)};$$

where X+1 is the total number of columns in said image sensor, and where n and n' are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where Y is the maximum row ordinate value in said image sensor), such that  $n'-n+1$  represents the number of rows within each column from which individual column intensity is determined; and

where AVERAGE COLUMN INTENSITY(x) is the average intensity for a particular column, x, within said image sensor and is defined by the following equation:

$$\text{AVERAGE COLUMN INTENSITY}(x) = \frac{\sum_{\text{row}=n}^{n'} \text{IMAGE}(x, \text{row})}{(n'-n+1)};$$

where  $n$  and  $n'$  are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where  $Y$  is the maximum row ordinate value in said image sensor), such that  $n' - n + 1$  represents the number of rows within each column from which average column intensity is determined.

20. A fingerprint scanning system comprising:
  - at least one mobile, hand-held fingerprint scanner, said at least one mobile, hand-held fingerprint scanner including:
    - an image sensor;
    - image normalization data stored in a non-volatile memory;
    - wherein said non-volatile memory storing said image normalization data is disposed locally with said image sensor; and
  - at least one host processor that receives image data from said at least one remote fingerprint scanner, wherein said image data includes raw image data together with said image normalization data.
21. The fingerprint scanning system of claim 20, further comprising:
  - a docking station connected to said host processor;
  - wherein said at least one mobile, hand-held fingerprint scanner further includes a data communications interface that couples data between said at least one mobile, hand-held fingerprint scanner and said docking station, said data communications interface selected from the group consisting of a universal serial bus (USB) and an IEEE 1394 compatible interface.
22. A fingerprint scanning system comprising:
  - at least one mobile, hand-held fingerprint scanner, said at least one mobile, hand-held fingerprint scanner including:
    - an image sensor;
    - image normalization data stored in a non-volatile memory;

wherein said non-volatile memory storing said image normalization data is disposed locally with said image sensor; and

at least one host processor that receives image data from said at least one remote fingerprint scanner, wherein said image data includes normalized image data.

23. The fingerprint scanning system of claim 22, wherein said at least one mobile, hand-held fingerprint scanner further comprises:

a normalization processor that, with said image normalization data, normalizes raw image data from said image sensor.

24. The fingerprint scanning system of claim 23, further comprising:  
a docking station connected to said host processor;  
wherein said at least one mobile, hand-held fingerprint scanner further includes a data communications interface that couples data between said at least one mobile, hand-held fingerprint scanner and said docking station, said data communications interface selected from the group consisting of a universal serial bus (USB) and an IEEE 1394 compatible interface.

25. A method of manufacturing a remote fingerprint scanner having local storage of image normalization data comprising the steps of:

- (a) exciting an image sensor with a light source;
- (b) determining a set of normalization coefficients; and
- (c) storing said normalization coefficients in a non-volatile memory, said non-volatile memory disposed locally with said image sensor.

26. The method of claim 25, wherein said light source of step (a) is a uniform light source.

-25-

27. The method of claim 26, wherein step (a) includes adjusting an intensity of said uniform light source so as to avoid saturation at either extreme of sensitivity of said image sensor.

28. The method of claim 24, wherein step (b) includes determining an average image intensity for a predetermined area of pixels within said image sensor.

29. The method of claim 28, wherein said predetermined area of pixels is a plurality of columns within said image sensor.

30. The method of claim 29, wherein said average image intensity is determined as expressed in the following equation:

$$\text{AVERAGE IMAGE INTENSITY} = \frac{\sum_{\text{col}=0}^X \sum_{\text{row}=n}^{n'} \text{IMAGE}(\text{col}, \text{row})}{(X + 1) \times (n' - n + 1)} ;$$

where  $X+1$  is the total number of columns in said image sensor, and where  $n$  and  $n'$  are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where  $Y$  is the maximum row ordinate value in said image sensor), such that  $n'-n+1$  represents the number of rows within each column from which individual column intensity is determined.

31. The method of claim 25, wherein step (b) includes determining an average column intensity for each column within said image sensor.

32. The method of claim 31, wherein determining an average column intensity for each column within said image sensor is performed according to the following equation:

$$\text{AVERAGE COLUMN INTENSITY}(x) = \frac{\sum_{\text{row}=n}^{n'} \text{IMAGE}(x, \text{row})}{(n' - n + 1)} ;$$

where AVERAGE COLUMN INTENSITY(x) equals the average intensity for a particular column x, and where n and n' are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where Y is the maximum row ordinate value in said image sensor), such that  $n' - n + 1$  represents the number of rows within each column from which average column intensity is determined.

33. The method of claim 25, wherein step (b) includes comparing an average column intensity for each column to an average image intensity, said comparison resulting in a normalization coefficient for each column.

34. The method of claim 33, wherein said comparison is performed according to the following equation:

$\text{NORM}(x) = \text{AVERAGE IMAGE INTENSITY} / \text{AVERAGE COLUMN INTENSITY}(x)$ ;

where:

$\text{NORM}(x)$  is the image normalization coefficient for a particular column, x;

AVERAGE IMAGE INTENSITY is determined as expressed in the following equation:

$$\text{AVERAGE IMAGE INTENSITY} = \frac{\sum_{\text{col}=0}^X \sum_{\text{row}=n}^{n'} \text{IMAGE}(\text{col}, \text{row})}{(X + 1) \times (n' - n + 1)} ;$$

-27-

where  $X+1$  is the total number of columns in said image sensor, and where  $n$  and  $n'$  are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where  $Y$  is the maximum row ordinate value in said image sensor), such that  $n'-n+1$  represents the number of rows within each column from which individual column intensity is determined; and

AVERAGE COLUMN INTENSITY( $x$ ) is the average intensity for a particular column and is determined as expressed in the following equation:

$$\text{AVERAGE COLUMN INTENSITY}(x) = \frac{\sum_{\text{row}=n}^{n'} \text{IMAGE}(x, \text{row})}{(n'-n+1)} ;$$

where  $n$  and  $n'$  are integers such that  $0 \leq n < Y$  and  $0 < n' \leq Y$  (where  $Y$  is the maximum row ordinate value in said image sensor), such that  $n'-n+1$  represents the number of rows within each column from which average column intensity is determined.

1/9

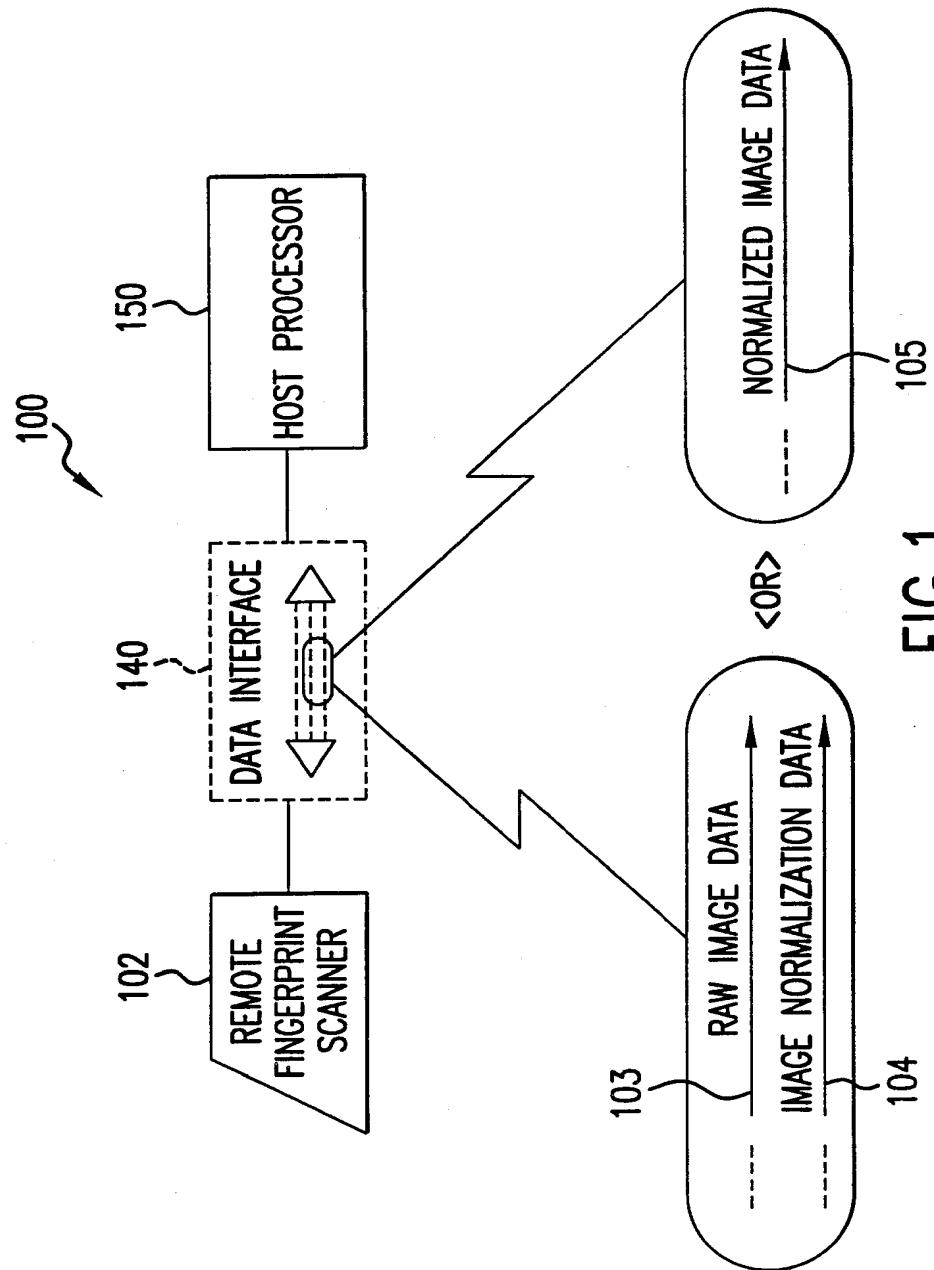


FIG. 1

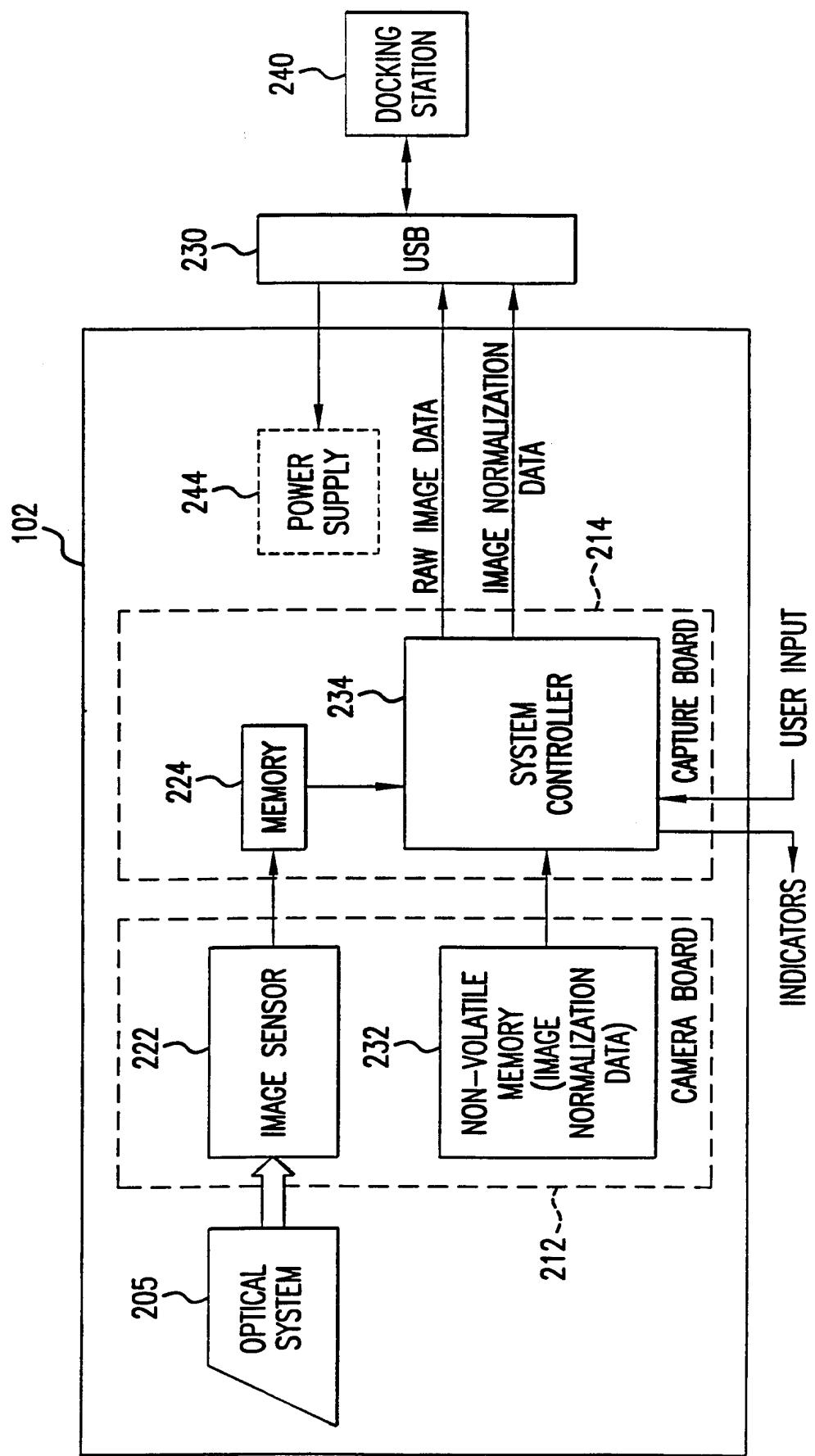


FIG.2A

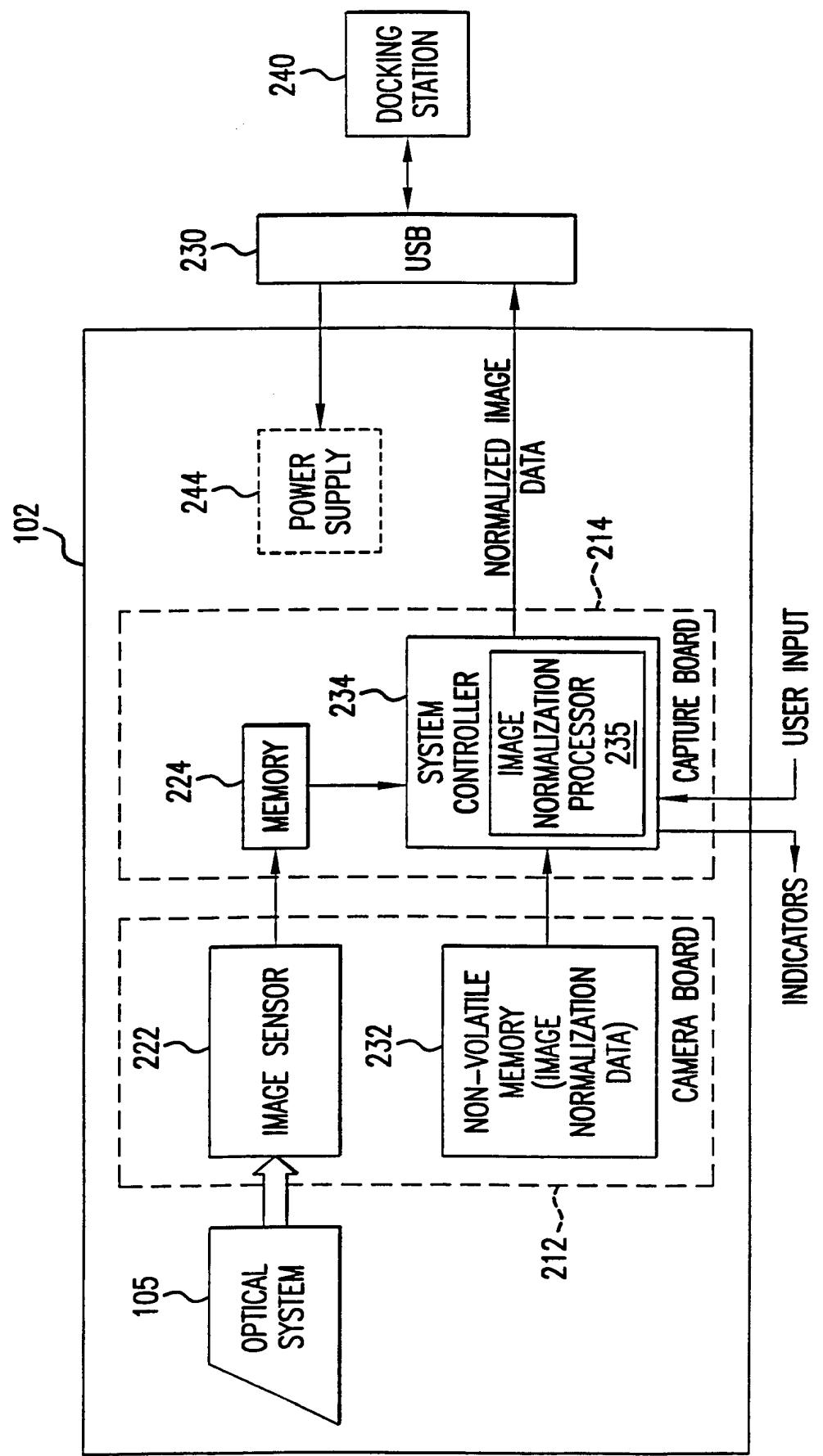


FIG.2B

4/9

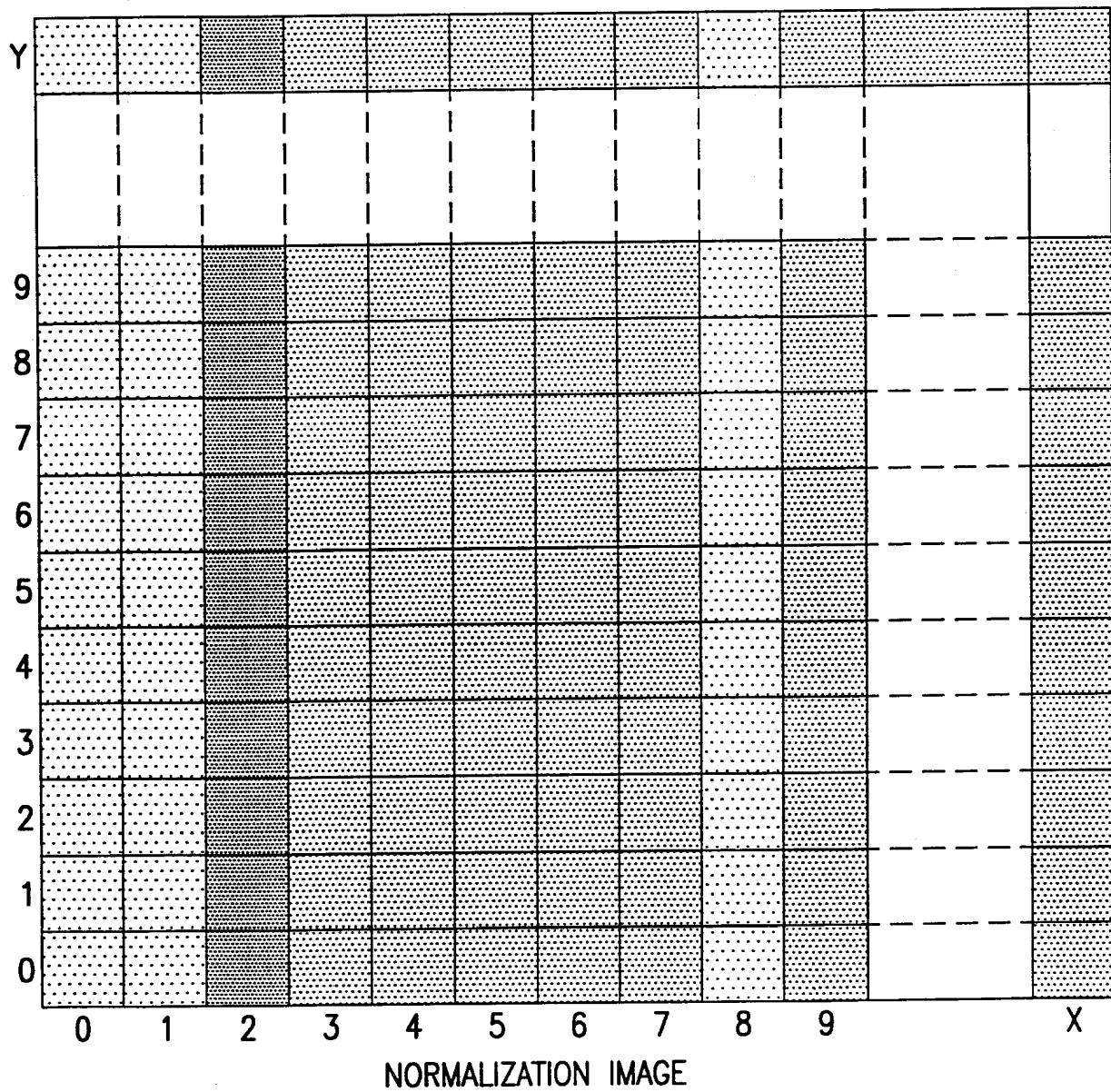


FIG.3A

5/9

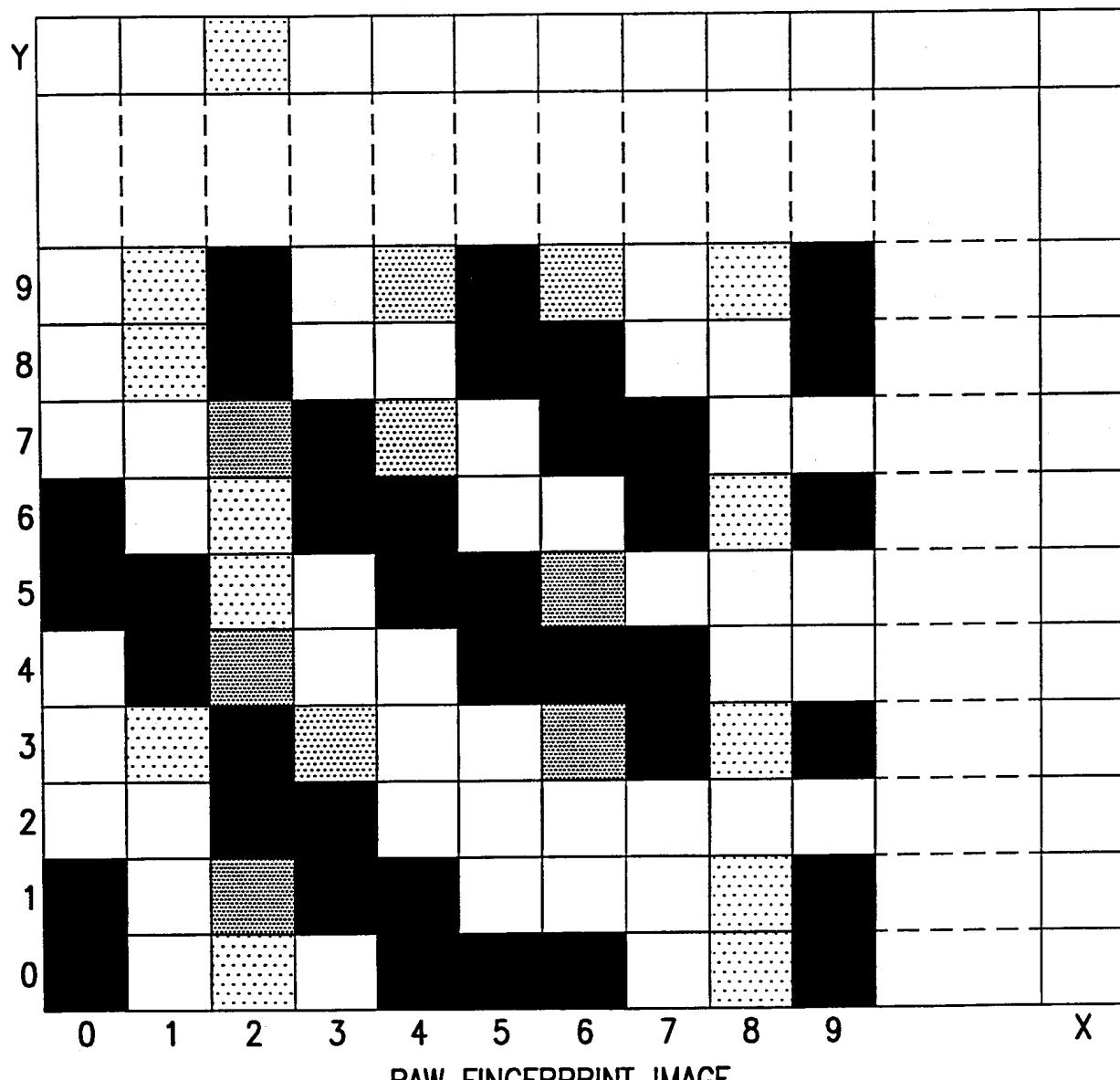


FIG.3B

6/9

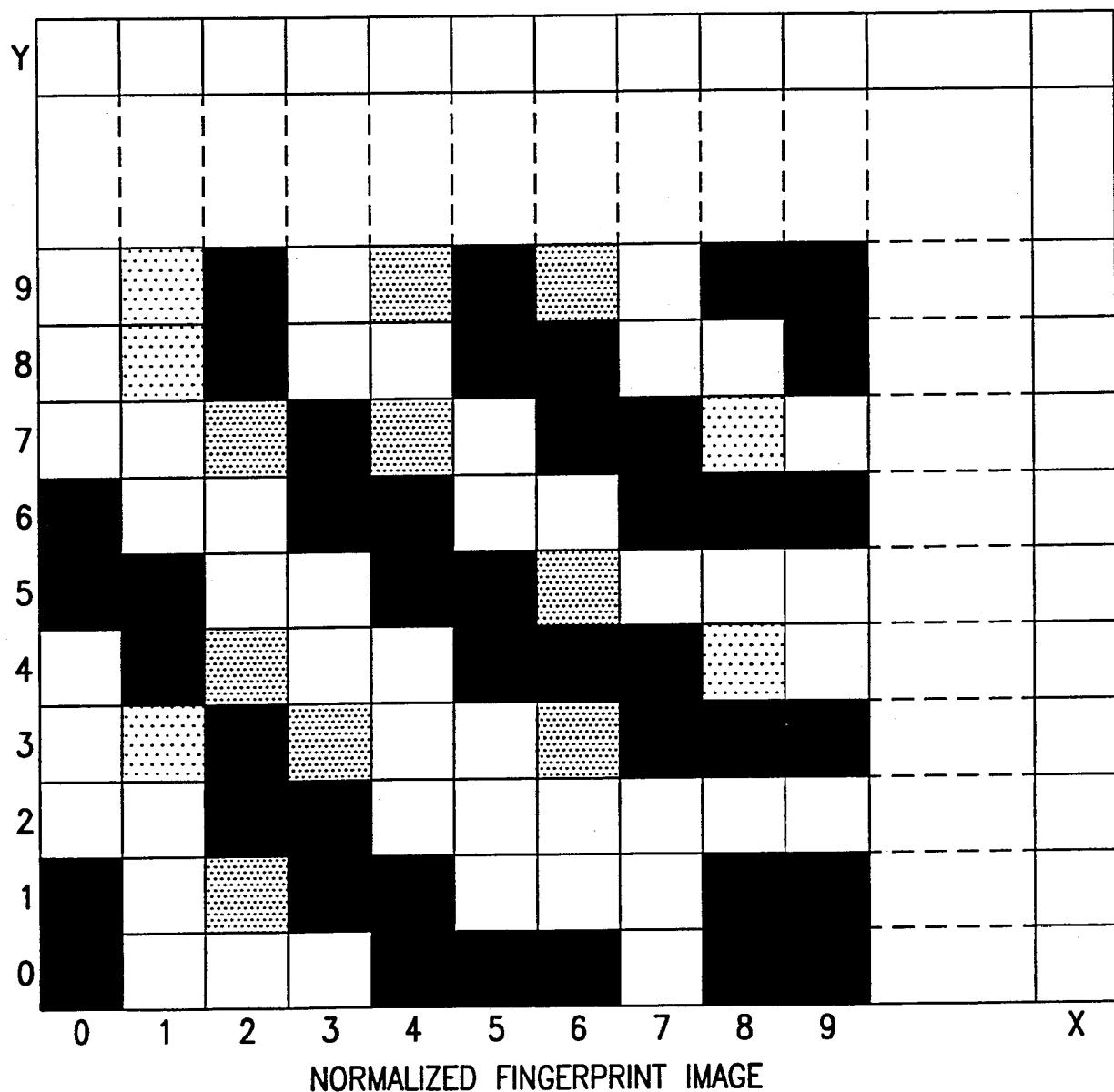
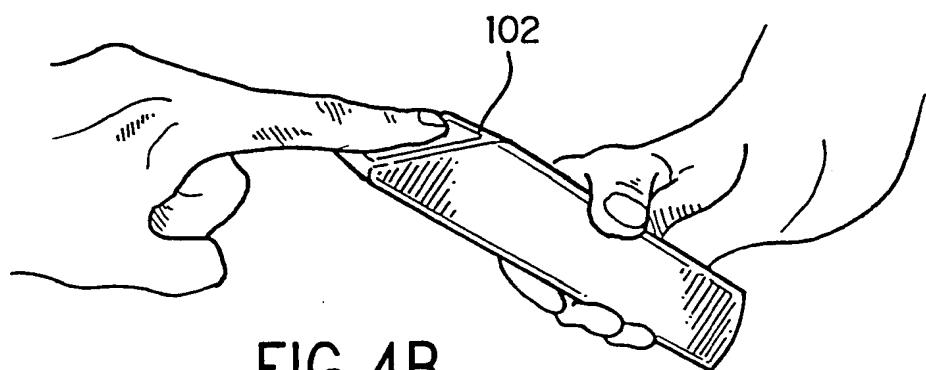
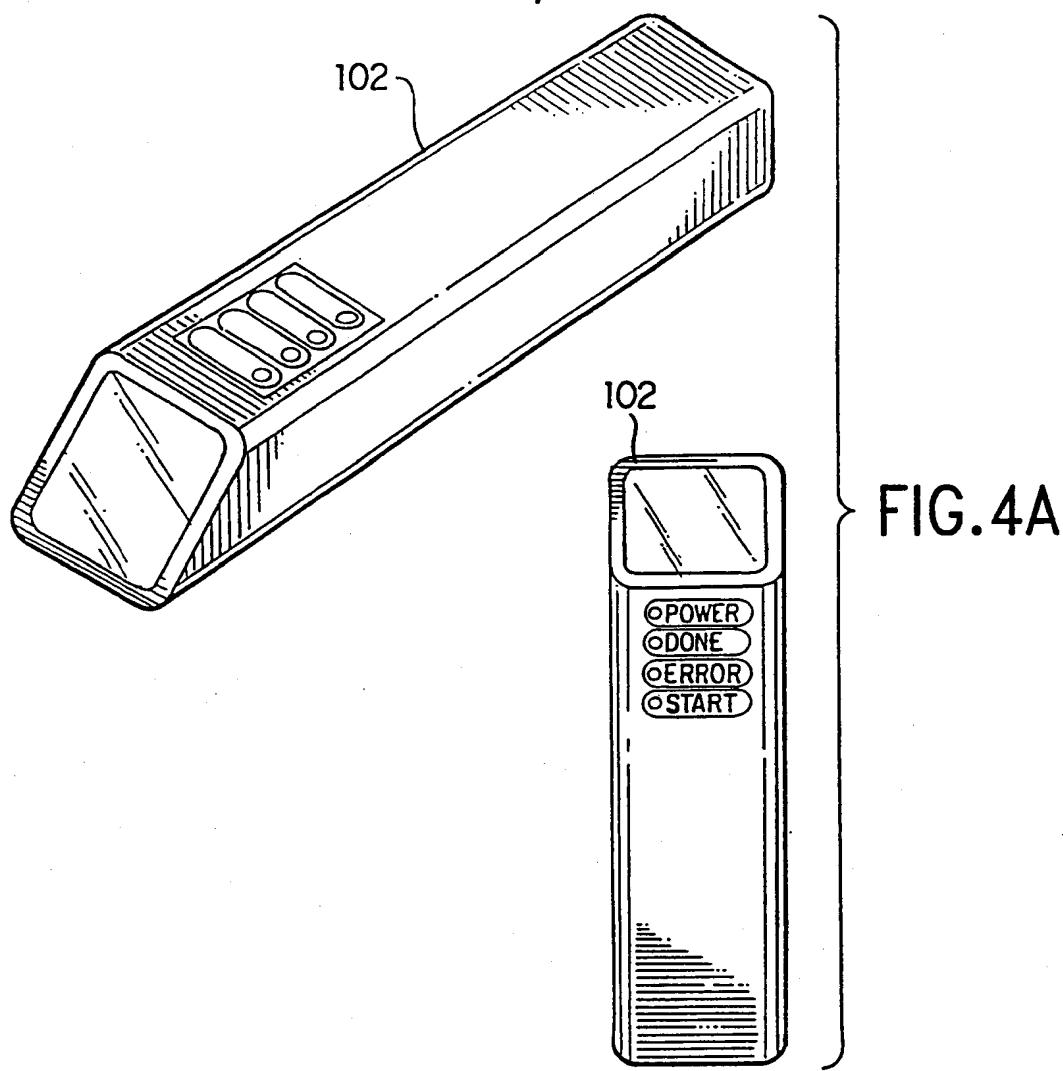


FIG.3C

7/9



8/9

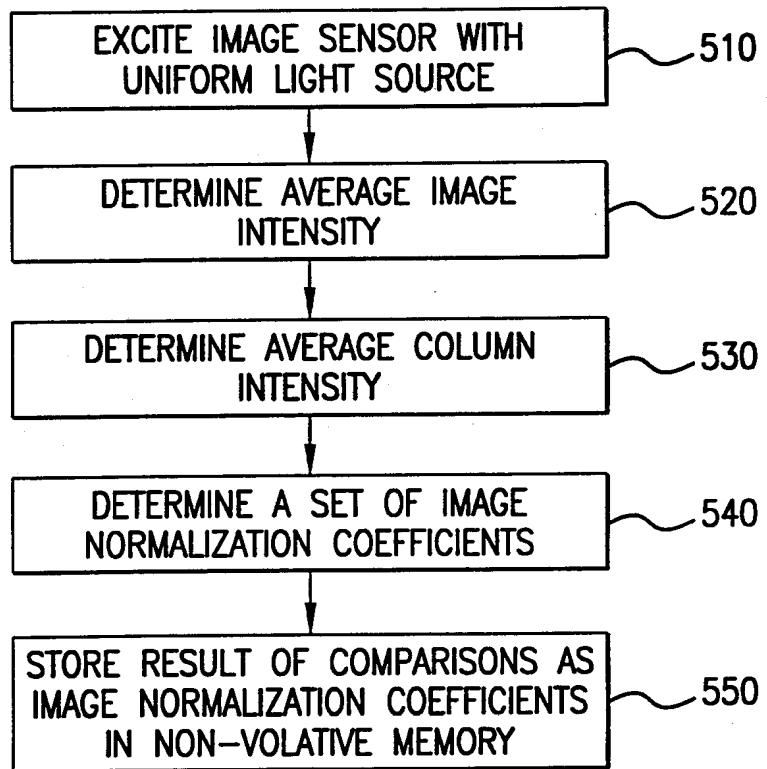


FIG. 5A

9/9

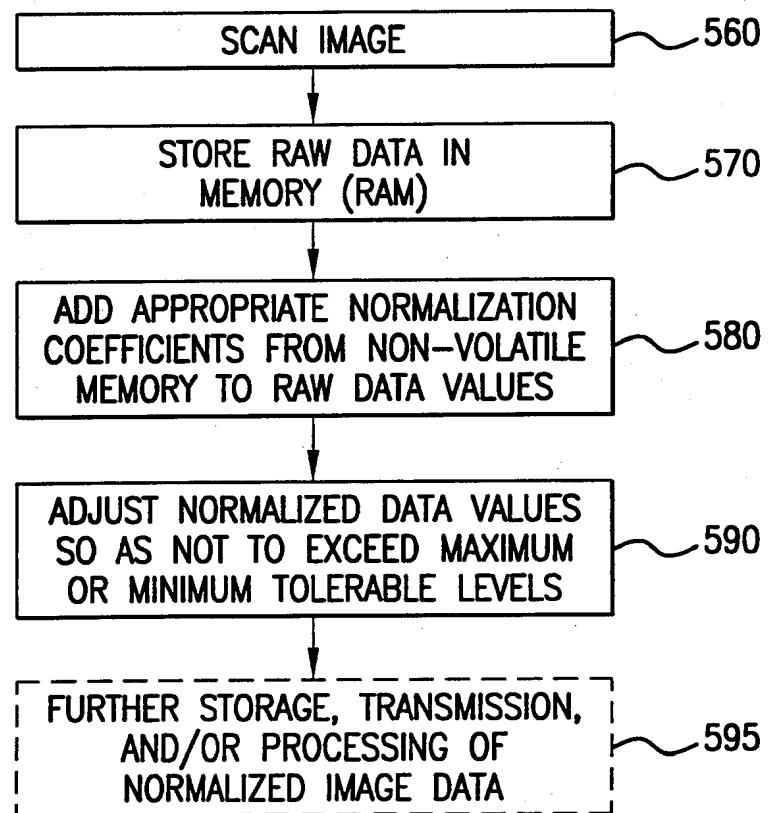


FIG.5B

# INTERNATIONAL SEARCH REPORT

Inte. onal Application No  
PCT/US 00/27740

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 G06K9/00 G06K9/40

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G06K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, INSPEC, WPI Data, IBM-TDB, PAJ, COMPENDEX

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 032 975 A (MALUEG RICHARD M ET AL) 28 June 1977 (1977-06-28) column 2, line 33 - line 65 ---	1-34
A	EP 0 924 656 A (TRW INC) 23 June 1999 (1999-06-23) column 5, line 12 - line 17 ---	1-34
A	PATENT ABSTRACTS OF JAPAN vol. 1999, no. 11, 30 September 1999 (1999-09-30) & JP 11 167630 A (CANON INC), 22 June 1999 (1999-06-22) abstract ---	4,9,16, 21,24
A	GB 2 313 441 A (MOTOROLA ISRAEL LTD) 26 November 1997 (1997-11-26) abstract -----	26,27

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Patent family members are listed in annex.

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- °L° document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
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- °&° document member of the same patent family

Date of the actual completion of the international search

8 March 2001

Date of mailing of the international search report

22/03/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Granger, B

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/27740

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4032975	A 28-06-1977	NONE	
EP 0924656	A 23-06-1999	US 6041410 A JP 11265432 A	21-03-2000 28-09-1999
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